

# Valence fluctuation and its effect on the synthesis, structure and properties of compounds

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## Abstract

The valence fluctuations of terbium and europium were studied using *in situ* electrical resistance measurement under high pressure and high temperature, X-ray diffraction, X-ray photoelectron spectra and Eu emission spectra. The synthesis process of CeTbO<sub>3</sub> under high pressure can be marked by the valence fluctuation of Tb<sup>4+</sup> → Tb<sup>3+</sup>. The relationship between the intensity ratio, *I*, of the higher binding energy peak to the lower one and the content ratio, *Z*, of Tb<sup>4+</sup>/Tb in Tb<sub>4d</sub> photoelectron spectra can be described by the equation  $I = -0.98Z^2 + 2.15Z$  ( $0 \leq Z \leq 1$ ). Using this equation the valence state of terbium and its effect on the superconductivity in Eu<sub>1-x</sub>Tb<sub>x</sub>Ba<sub>2</sub>Cu<sub>3</sub>O<sub>7-δ</sub> were investigated. In addition, the phenomenon of pressure-induced valence fluctuation was also observed in the SrB<sub>2</sub>O<sub>4</sub>:Eu system.

## 1. Introduction

As a result of the special properties of their electron shells, research on lanthanides has become an important issue. Much of work has been done on the synthesis of lanthanide compounds [1,2] and their properties [3–5]. These studies have shown many interesting results. It is known that the lanthanide has the [Xe]4f<sup>0–14</sup>5d<sup>0–1</sup>6s<sup>2</sup> electron shell, containing unfilled 4f and almost empty 5d shells. After the valence electrons are lost, they form the common Ln<sup>3+</sup> ions. According to Hund's rules the La<sup>3+</sup>, Gd<sup>3+</sup> and Lu<sup>3+</sup> are the most stable in these Ln<sup>3+</sup> ions because they have empty, half-filled and fully filled 4f shells, respectively. The elements near the three elements, for example, Tb, Eu, will tend to lose or gain electrons to reach or get close to the stable state, which results in the valence fluctuation phenomenon. The valence fluctuation of rare earth ions may directly affect the functional properties of materials, so the study of the synthesis of rare earth compounds and the valence fluctuation process and its effect on the physical and chemical properties may reveal some novel properties of valence changed lanthanide, which is important for predicting and designing new materials. In this paper, some compounds containing terbium or

europium were selected for study, with the aim of obtaining some information about the valence fluctuation and its influence on the synthesis, structure and properties of lanthanide compounds.

## 2. Experimental details

The rare earth oxides CeO<sub>2</sub>, Tb<sub>4</sub>O<sub>7</sub>, Eu<sub>2</sub>O<sub>3</sub> with 99.95% purity were used as starting materials. According to some appropriate proportion in the present study, they were weighed and mixed. The common high temperature solid state reaction was employed for the preparation of a sintered sample, and the high pressure and high temperature synthesis was performed using a 4.9 MN (500 T) Bridgman press [2]; the *in situ* electrical resistance was measured on a 1.96 MN (200 T) Bridgman press [6].

After the high pressure experiment, the above samples were tested using X-ray diffraction, X-ray photoelectron and Eu emission spectra methods.

### 3. Results and discussion

#### 3.1. $CeO_2$ - $Tb_4O_7$ system

For studying the high pressure synthesis process of the compound  $CeTbO_3$ , the resistances of  $CeO_2$ ,  $Tb_4O_7$ , a mixture ( $4CeO_2+Tb_4O_7$ ) and compound  $CeTbO_3$  prepared previously were measured *in situ* at a pressure of 0.5 GPa and at temperatures ranging from room temperature to 800 °C. The experimental results of resistance-temperature variation showed that under a pressure of 0.5 GPa,  $CeO_2$  has a semiconducting character and exhibits a higher stability of the perfect fluorite structure; however, for the  $Tb_4O_7$  and the mixture, there appear fluctuating changes in resistance above 600 °C, indicating a change in inner electronic state. The resistance-temperature curves for the mixture of ( $CeO_2+Tb_4O_7$ ) in a 4:1 mole ratio are shown in Fig. 1. The resistance-temperature variation with decreasing temperature were also measured for comparison.

The experimental results suggest that the irregular resistance change in the system of the mixture above 600 °C may be considered to come from  $Tb_4O_7$ . The  $Tb_4O_7$  has a defect fluorite structure in which  $Tb^{3+}$  and  $Tb^{4+}$  ions are about 50%, respectively, causing some oxygen deficiencies. Therefore the carrier should consist of intrinsic carriers and anion deficiencies, but the latter may be the predominant conductivity mechanism at a high temperature. It can be estimated that the innate oxygen deficiencies are 12.5% in total oxygen sites in  $Tb_4O_7$ . When one  $Tb^{4+}$  transits to  $Tb^{3+}$ , 0.5 oxygen deficiencies occur due to the electrical neutrality. If small amounts of oxygen deficiencies caused by the

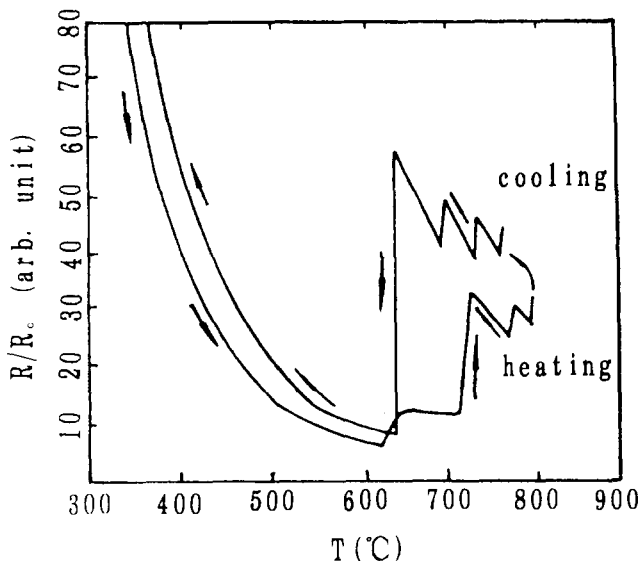


Fig. 1. Resistance-temperature curve for the ( $4CeO_2+Tb_4O_7$ ) mixture under 0.5 GPa (the interval of up-and-down change is related to the maintaining temperature time).

pressing are ignored, the contribution to conductivity of the sample comes from the intrinsic carriers and the innate oxygen deficiencies at the initial stage of increasing temperature. With increase in temperature, a certain amount of new oxygen deficiencies will take part in conducting, but they are not large. It is only close to the transition temperature that a large number of deficiencies are produced and they influence the conductivity seriously. The present experimental results, the resistance in the mixture and  $Tb_4O_7$  showing irregular change above 600 °C, may be considered as the beginning of  $Tb^{4+} \rightarrow Tb^{3+}$ ; the transition temperature is about 600 °C. At this temperature, a great deal of  $Tb^{4+}$  transforms to  $Tb^{3+}$ , resulting in an abrupt increase in oxygen deficiency. If the temperature is maintained, the oxygen deficiencies will increase to the saturated value with time. The new deficiencies produced are in a disordered state because of the constant temperature and pressure, hence no contribution to the conductivity but increasing the scattering defect on the intrinsic carriers (point defect scattering). At the same time, the production of deficiencies also decreases the concentration of intrinsic carriers. Therefore the conductivity will be lower than it was previously, *i.e.* the resistance increases at a constant temperature with time. With further increase in temperature, the deficiencies produced before become more active and partly take part in conducting, which makes the resistance decrease again with increasing temperature. In conclusion, this may explain the irregular change in the resistance-temperature curves with increasing temperature in Fig. 1 and similarly for the process of decreasing temperature.

The X-ray diffraction spectra for this mixture under 0.5 GPa and at different temperatures are shown in Fig. 2. It can be seen that there are changes in the diffraction patterns with increase in temperature. At room temperature, it is the simple combination of  $CeO_2$  and  $Tb_4O_7$  diffraction peaks, and at 400 °C no obvious change can be observed. But at 650 °C, the split of the peaks corresponding to  $Tb_4O_7$  take place, forming a fluorite phase (F), a cubic phase (C) and only a small monoclinic phase (B). Here phase C is  $TbO_{1.5+x}$ , phase B is  $Tb_2O_3$ , all resulting from the transition of  $Tb^{4+} \rightarrow Tb^{3+}$  [5]. At 1000 °C, there is a single phase of fluorite structure. The X-ray diffraction spectra are in good agreement with the measured resistances. Thus it may be suggested that the compound  $CeTbO_3$  was formed by the  $Tb^{4+} \rightarrow Tb^{3+}$  transition in the mixture, which makes the fluorite  $Tb_4O_7$  gradually disintegrate and the  $Tb^{3+}$  ions enter the perfect fluorite structure of  $CeO_2$ , finally the  $CeTbO_3$  compound forms with the fluorite structure.

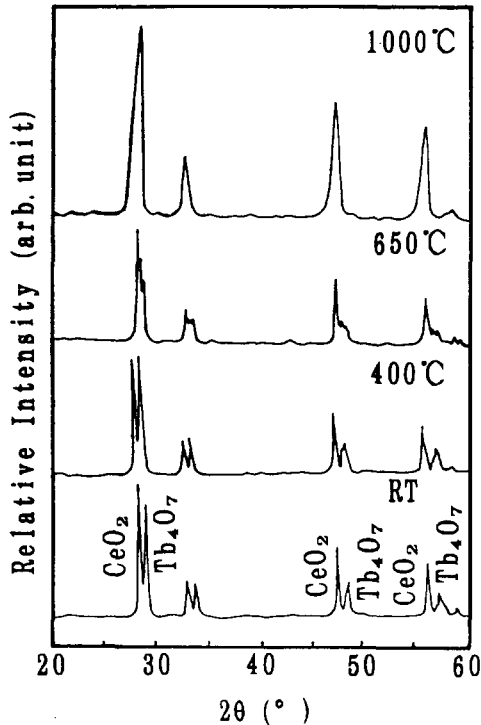


Fig. 2. X-Ray diffraction spectra of  $(4\text{CeO}_2+\text{Tb}_4\text{O}_7)$  mixture prepared at different temperatures ( $P=0.5$  GPa).

### 3.2. $\text{Eu}_{1-x}\text{Tb}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ system

The yttrium in  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$  can be substituted by lanthanides, with the exception of praseodymium, cerium and terbium, to form a single phase of 123 structure, high  $T_c$  superconductors [7]; the three elements commonly exhibit the  $\text{Ln}^{4+}$  ions state. But the reason why  $\text{Ln}^{4+}$  influences the superconductivity is not clear.

$\text{Eu}_{1-x}\text{Tb}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  ( $x=0-0.30$ ) was sintered in the atmosphere [8]. In order to determine the valence state of Tb ions in  $\text{Eu}_{1-x}\text{Tb}_x\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$ , the  $\text{Tb}_{4d}$  X-ray photoelectron spectra in these compounds of  $\text{BaTbO}_3$ ,  $\text{TbFeO}_3$  and  $\text{Tb}_4\text{O}_7$  were measured, as shown in Fig. 3. It is noticed that  $\text{BaTbO}_3$  is a perovskite structure in which Tb is quadrivalent with six coordination, and Tb is trivalent with twelve coordination in  $\text{TbFeO}_3$ . The Tb ions are quadrivalent and trivalent in the defect fluorite  $\text{Tb}_4\text{O}_7$  and  $\text{Tb}^{3+}/\text{Tb}^{4+}=1$ . Therefore from the photoelectron spectra, the characteristic peaks of  $\text{Tb}^{3+}$  and  $\text{Tb}^{4+}$  can be determined to be 149.45 and 156.20 eV, respectively. If the intensity ratio of higher to lower binding energy peak is taken as  $I$  and the content of  $\text{Tb}^{4+}/\text{Tb}$  is  $Z$ , the approximate relationship between  $I$  and  $Z$  is obtained first by fitting the experimental data. That is  $I = -0.98Z^2 + 2.15Z$  ( $0 \leq Z \leq 1$ ), which can be used to determine the valence state of Tb ions in the compounds. By fitting the photoelectron spectrum of  $\text{Eu}_{0.7}\text{Tb}_{0.3}\text{Ba}_2\text{Cu}_3\text{O}_{7-\delta}$  in Fig. 3,  $I$  is 0.95, and then the corresponding  $Z$  may be estimated,  $\text{Tb}^{4+}/\text{Tb} \approx 0.61$ , which means that terbium is in mixed valence states

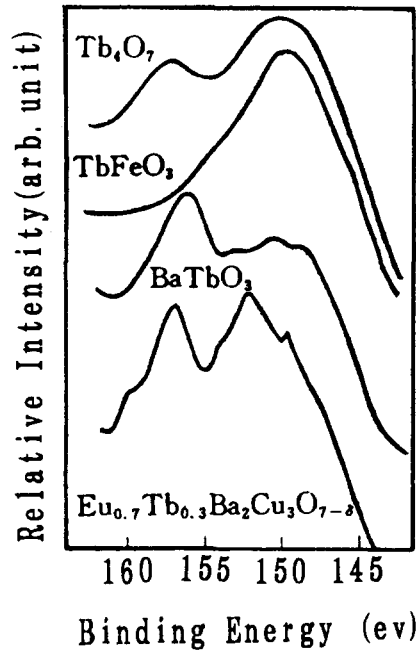


Fig. 3.  $\text{Tb}_{4d}$  X-ray photoelectron spectra in some compounds containing terbium.

in this compound,  $\text{Tb}^{3+}$ ,  $\text{Tb}^{4+}$  being about 0.12, 0.18, respectively.

The divalent copper ( $\text{Cu}^{2+}$ ) is six coordinate in the 123 phase, the corresponding ionic radius being 0.073 nm. The radii of six-coordination, eight-coordination for  $\text{Tb}^{3+}$  and  $\text{Tb}^{4+}$  are 0.092 and 0.076 nm, 0.104 and 0.088 nm, respectively. Thus  $\text{Tb}^{3+}$  should be in  $\text{Eu}^{3+}$  sites and  $\text{Tb}^{4+}$  in  $\text{Eu}^{3+}$  or  $\text{Cu}^{2+}$  sites if Tb enters into the lattice. The X-ray diffraction data showed that the lattice volume is enlarged with increasing Tb doping content  $x$  [8], which suggests that some  $\text{Tb}^{4+}$  must be in the 123 lattice because the radius of  $\text{Tb}^{4+}$  (0.076 nm) is more than that of  $\text{Cu}^{2+}$  (0.073 nm) and  $\text{Tb}^{3+}$  (0.104) less than  $\text{Eu}^{3+}$  (0.107). The existence of  $\text{Tb}^{4+}$  in the 123 phase will result in the oxygen content increasing near the Eu layer and the oxygen deficiency decreasing in the rare earth element layer because of the electrical neutrality. The decrease in oxygen deficiency results in the decrease of  $\text{Cu}^{>2+}$  ions and  $\text{O}_{2p}$  holes, and therefore in the decrease in charge transfer of  $\text{Cu}^{>2+} \rightarrow \text{O}^{2-}$ , the weakening of the  $\text{Cu}(2)-\text{O}(2)$  bond and the lowering of the  $\text{Cu}_{2p}$  binding energy. The decrease in  $\text{O}_{2p}$  hole number in the  $\text{Cu}(2)-\text{O}(2)$  plane may be one of the reasons for the loss of superconductivity after doping terbium.

### 3.3. $\text{Sr}_{1-x}\text{Eu}_x\text{B}_2\text{O}_4$ system

$\text{SrB}_2\text{O}_4:\text{Eu}^{2+}$  with a high pressure cubic structure is an efficient  $\text{Eu}^{2+}$  activated luminescence material [3]; some studies on this subject have been done by the present authors [4,9], and as additional work, the valence

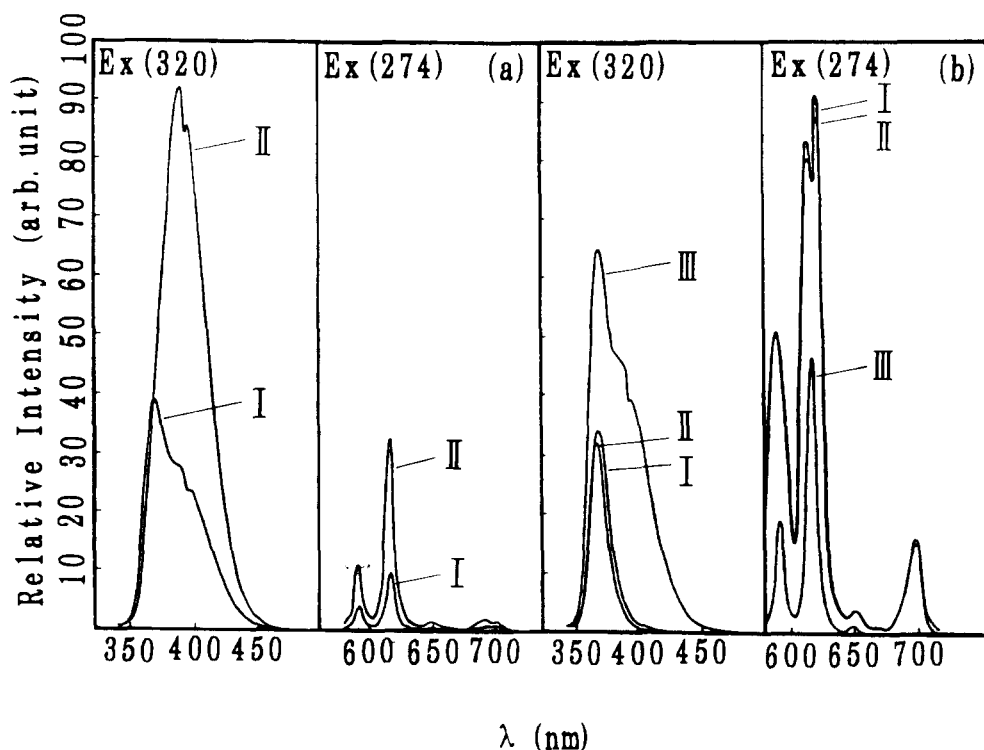


Fig. 4. Eu emission spectra of  $\text{Sr}_{1-x}\text{Eu}_x\text{B}_2\text{O}_4$  samples prepared under different pressures and at different temperatures. (a)  $x=0.02$ ;  $P=2.75$  GPa; I, 600 °C; II, 800 °C; (b)  $x=0.1$ ;  $T=800$  °C; I, 2.55 GPa; II, 2.75 GPa; III, 2.95 GPa.  $E_x(320)$ , 320 nm exciting wavelength;  $E_x(274)$ , 274 nm exciting wavelength.

change of europium was investigated using Eu emission spectrum. The  $\text{SrB}_2\text{O}_4:\text{Eu}^{2+}$  samples were commonly prepared by means of reducing atmosphere which makes  $\text{Eu}^{3+}$  reduce to  $\text{Eu}^{2+}$ . Here, the  $\text{Eu}_2\text{O}_3$  (Eu ions are trivalent) with 99.95% purity was used as the starting material and weighed to form a mixture of  $\text{Sr}_{1-x}\text{Eu}_x\text{B}_2\text{O}_4$  ( $x=0.02$ ,  $x=0.1$ ) for high pressure experiments. The europium emission spectra of  $\text{Sr}_{1-x}\text{Eu}_x\text{B}_2\text{O}_4$  prepared under different pressures and at different temperatures are shown in Fig. 4. This shows the different emissions of  $\text{Eu}^{2+}$  and  $\text{Eu}^{3+}$ , meaning that the high pressure indeed makes some  $\text{Eu}^{3+}$  reduce to  $\text{Eu}^{2+}$ .

For the  $x=0.02$  samples prepared under the same pressure of 2.75 GPa (Fig. 4(a)), at 800 °C and 600 °C, for the emissions of  $\text{Eu}^{2+}$  and  $\text{Eu}^{3+}$ , the former are all stronger than the latter; the reason may be related to a better crystal at the high temperature. For the  $x=0.1$  samples (Fig. 4(b)), the emissions of  $\text{Eu}^{2+}$  and  $\text{Eu}^{3+}$  for samples I and II are very close to each other. But the emission of  $\text{Eu}^{2+}$  for sample III is twice that of samples I or II, and the corresponding  $\text{Eu}^{3+}$  emission is half that of samples I or II. The above temperature and pressure are suitable for the formation of cubic phase  $\text{SrB}_2\text{O}_4$  [3], so the intensity of  $\text{Eu}^{2+}$  emission is mainly dependent on the concentration of  $\text{Eu}^{2+}$  in the cubic phase if it is smaller than the quenching concentration of  $\text{Eu}^{2+}$ ; that is at the temperature of 800 °C,

increasing pressure is beneficial in the reduction of  $\text{Eu}^{3+}$ , especially under 2.95 GPa, the reduction of  $\text{Eu}^{3+}$  is obviously increased and the intensity of  $\text{Eu}^{2+}$  strengthened.

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